



Centre for
Management
Technology®
Into our 24th year

A 2-day intensive course on

INTERNAL CONTROLS & COMPLIANCE In Today's Management

17-18 December 2007 ■ Nikko Hotel, Kuala Lumpur

COURSE HIGHLIGHTS:

PART A: CONTROL

- What Is Control, Or Internal Control?
- Benefits / Purposes Of Having Controls In Organisations.
- Understanding Management's Application Of Controls, Threshold/Tolerance Limits
- The Emphasis Of Controls By Supporting Services In Organizations
- Using Controls To Map Your Priorities And Develop Key Risk Indicators.
- Your Internal Control Management Framework

PART B: COMPLIANCE

- Internally-Generated Compliance.
- Externally-Driven Compliance.

PART C: SYMBIOSIS OF CONTROL WITH COMPLIANCE, AND CSFs FOR EFFECTIVE CONTROL & COMPLIANCE PROGRAMME

- How Controls Influence Or Drive Compliance And Vice Versa.
- Knowing Your Critical Success Factors (CSFs) For Effective And Meaningful Control And Compliance Programme In Your Organisation.



*Distribution of management book titled
"Managing Tomorrow's Organisations" to each participant,
written by Dr J E Ruin in the year 2006.*

COURSE OBJECTIVES

1. To get the participants to understand what internal controls theories and fundamentals are all about.
2. For participants to know the various control types and so they advise their management on the correct and effective types of controls for management tool.
3. To understand the synergy between controls and compliances.
4. Awareness of today's business and non-business landscape that warrants robust compliance standards versus yonder years' industry and business landscapes that subsist on simple or no compliance guidelines and standards.
5. To understand the two areas of compliance: internally driven and externally-enforced; and why the need for both in today's businesses, and in profit and non-profit organisations.

WHO SHOULD ATTEND

- Strategic Planners, Methods And Research Personnel
- Internal Auditors
- Auditors
- Regulatory And Compliance Managers/Officers
- Regulatory Managers/Officers
- Risk Management Managers/Officers
- Financial Directors, Financial Controllers
- Accountants
- Operations Managers
- Investigation Managers
- Security Managers
- HR Managers
- Heads Of Department
- Loss Prevention Specialist
- Academicians, College And University Lecturers And Professors
- Managers, Officers Or Supervisors Performing Operations, Supervisory Functions In Any Kind Of Organization Or Industry

Program topics, speakers and schedules published herein are confirmed as at printing time. Please refer to the event's timetable page at www.cmtevents.com for the most up-to-date information.

PART A: CONTROL

MODULE 1: WHAT IS CONTROL, OR INTERNAL CONTROL?

- Characteristics of controls
- Types of control
- Hard controls, soft controls
- Tangible control and intangible controls

MODULE 2: BENEFITS / PURPOSES OF HAVING CONTROLS IN ORGANISATIONS

- To meet business, corporate and overall organisational objectives
- As a management tool
- Administrative controls
- Management controls

MODULE 3: UNDERSTANDING MANAGEMENT'S APPLICATION OF CONTROLS, THRESHOLD/TOLERANCE LIMITS

- To manage risks and uncertainties
- To avoid surprises and unknown agendas
- To ensure quality and consistent standards of operations and services

MODULE 4: THE EMPHASIS OF CONTROLS BY SUPPORTING SERVICES IN ORGANIZATIONS

- Internal audit function
- Risk management function
- Back-office and support function
- Quality assurance function
- Compliance management function

MODULE 5: USING CONTROLS TO MAP YOUR PRIORITIES AND DEVELOP KEY RISK INDICATORS

- How control influences the level (low, medium, high) risk in organisations
- The relationship of control with inherent and residual risks
- Mapping organisation's KRIs using control as a moderator

MODULE 6: YOUR INTERNAL CONTROL MANAGEMENT FRAMEWORK

- COSO: For non-IT related business and non-business operations and environment
- COBIT: For IT / IS and systems applications and environment

PART B: COMPLIANCE

MODULE 7: INTERNALLY-GENERATED COMPLIANCE

- Knowing your in-house compliance programme and initiatives
- Why the need for internally or in-house compliance guidelines and framework?

MODULE 8: EXTERNALLY-DRIVEN COMPLIANCE

- Types of regulatory or external compliance programmes and initiatives today that organisations need to implement and adhere to
- Why the need for externally regulated compliance guidelines and rulings?

PART C: SYMBIOSIS OF CONTROL WITH COMPLIANCE, AND CSFa FOR EFFECTIVE CONTROL & COMPLIANCE PROGRAMME

MODULE 9: HOW CONTROLS INFLUENCE OR DRIVE COMPLIANCE AND VICE VERSA

- Three main agendas to manage when running a business or non-business organization and they are your (i) Production/product/services, (ii) Network/distribution channels, and (iii) Customers/users
- How to ensure consistent standards and quality in your 3 main agendas above by implementing controls and compliance guidelines

MODULE 10: KNOWING YOUR CRITICAL SUCCESS FACTORS (CSFS) FOR EFFECTIVE AND MEANINGFUL CONTROL AND COMPLIANCE PROGRAMME IN YOUR ORGANISATION

- What can go wrong, and pitfalls to avoid
- What give rise to effective compliance programme?

COURSE LEADER

Dr Joseph Eby Ruin's FCCA; CA, Hon DBA(Mgt Phil) executive and managerial career has spanned the past 3 decades. Throughout these 30 years of career, he had worked in 6 different banks as Branch Manager and later at Head Office. In the 5 non-banking organisations that he joined he held various executive/managerial capacities, like serving as Head of Internal Audit/Chief Auditor and Secretary to the Board's Audit Committee. He is now the Principal/Proprietor of RiskFirst Consultancy & Training Services.

He became an Associate Member in 1979, and elevated to Fellow Member in 1984. He is also a member of The Malaysian Institute of Accountants since 1983. He was conferred an honorary degree of Doctor of Business Administration (Mgt Philosophy) by Kursk State Technical University Russia.

Before his retirement in April 2007, he was the Chief Risk Officer/Head of Risk Management Division of a large Malaysian commercial bank. , Where he supervised and managed the 4 risk management departments in the bank namely: Credit Risk Mgt Dept, Operational Risk Mgt Dept, Market/Treasury Risk Mgt Dept, and Systems (IT/IS) Risk Mgt Dept.

Prior to that he was the General Manager and Head of Operational Risk Mgt Dept as well as the Anti-Money Laundering/Counter-Financing of Terrorism Compliance Officer of another large commercial bank.

He was awarded the US-sponsored Fulbright Professional Exchange Program in 1995/96. For this sabbatical in the US he was attached to the Institute of Internal Auditors Inc Head Office at Altamonte Springs Florida.

Joseph has authored 18 management-books todate. A few of which are Enterprise-wide Risk Management Made Easy, Managing Operational Risks in Organisations, Managing Crisis in Organisation, Guide to Effective Management of Business Organisation, Guide to the Management of Anti-Money Laundering and Counter-Financing of Terrorism, Audit Committee.... Going Forward Towards Corporate Governance(book published by the Malaysian Institute of Corporate Governance).

REGISTRATION

INTERNAL CONTROLS AND COMPLIANCE
In Today's Management

Name	_____
Position	_____
Email	_____
Name	_____
Position	_____
Email	_____
Tel	_____
Fax	_____

Company	_____
Address	_____
City/Postcode	Country
Approving Manager's Name	_____
Position	_____
E-mail	_____

Fees: The full Registration Fee includes cost of all sessions, luncheon, coffee/tea & documentation.

	1 Person	Group fee for 3 or more* (from the same company)
Regular Fee	RM1,795	RM1,595 (MIN SAVINGS OF RM600)

* Terms and conditions apply.

Cancellations, Refunds & Transfers: A full refund will be promptly made for all written cancellations 3 weeks before the meeting. Thereafter, cancellations are not refundable. A substitute may be made at any time.

Cheques : Crossed & payable to
"Centre for Management Technology Sdn Bhd"

Photocopy Registration Form to Preserve Brochure Copy. December 2007

TELEGRAPHIC TRANSFER

Account Name: **Centre for Management Technology Sdn Bhd**
 A/C No: **3 - 0903390 - 12**
 Bank: Public Bank Berhad
 Branch: Grd Floor, Menara Public Bank Jalan Ampang
 Swift Code: PBBEMYKL
TT must include additional RM10 for Beneficiary's Bank charges. Delegates must bear all bank charges and local taxes (if applicable). Fees must be NETT of ALL charges.

CMT into our 24th year is a global conference organizer HQ in Singapore. CMT's dedicated team works closely with market leaders to analyze the latest industry trends and provide information supporting your decision making. Our annual 60 events encircle the globe from Asia Pacific to the Middle East to New Europe/Russia and Latin America.

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TO REGISTER

Online: www.cmtevents.com
 Email: adminkl@cmtsp.com.sg
 Fax: (603) 2162 6393
 Tel: (603) 2162 7322
 Post to: Lot 7.03, 7th Floor, North Block, The Ampwalk,
 218 Jalan Ampang, 50450 Kuala Lumpur

CERTIFICATE OF COMPLETION

A Certificate of Completion will be awarded upon successful completion of each course. This serves as evidence of your personal and professional commitment to you career.

COURSE TIMING

Registration: 8.30 am, Course Begins: 9.00 am,
 Morning Coffee: 10.30 am, Lunch & Zohor: 12.30 pm to 2.00 pm,
 Tea Break: 3:30 pm, Course Ends: 5.30 pm

Register online ~ www.cmtevents.com

A 2-day intensive course on

Techno-Financial Modelling For PROJECT FEASIBILITY STUDIES

5 - 6 December 2007 • Nikko Hotel, Kuala Lumpur

USING ENGINEERING FINANCE METHODOLOGY & HANDS-ON FINANCIAL MODELLING TECHNIQUES TO DETERMINE THE PROJECT VIABILITY WITH FOCUS ON FINANCIAL VIABILITY & DELIVERING COST-EFFECTIVE ENGINEERING PROJECT DESIGN

Led by Dr. Ir. Maulud Hj. Latif

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 MBA Finance (Aust),
 B. Sc. (Hons) Mining Eng (Britain),
 Dip Mechanical Eng (UTM). P. Eng.,
 MIEM, MIME, DMCC.

PLUS: EXTENSIVE ACTUAL CASE STUDIES

Notebook Required

A 2-day intensive course on

Human Resource Management For Senior Management

12-13 December 2007 • Eastin Hotel, Petaling Jaya

Course Highlights:

- Business Competition: New Challenges
- Building Culture And Branding For Competitive Advantage
- Communication And Leadership In People Management
- The Competency Based HRM Approach
- Integrating Management Training Into The Strategic Management & Performance Management Systems
- Incalculating Performance Workforce And Culture
- Managing Performance By Connecting People Strategy To Business Strategy
- Enhancing Productivity & Competitiveness Through Performance-Linked Wage Systems
- Effective Strategies For Managing & Retaining Talent
- Interpersonal Relations, Communication & Conflict
- Importance Of HR Policies & Practices In People Management
- Morale & The Quality Of Work Life
- The Financial Impact Of Behaviour In Organizations
- HR Outsourcing

PLUS: CASE STUDIES